

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Juergen WESTERMANN et al.

SERIAL NO: 10/559, 389

FILING DATE: March 16, 2007

FOR: PROTECTED 5,7-DIHYDROXY-4,4-DIMETHYL-3-OXOHEPTANOIC ACID ESTERS AND 5,7-DIHYDROXY-2-ALKYL-4,4-DIMETHYL-3-OXOHEPTANOIC ACID ESTERS FOR SYNTHESIZING EPOTHILONES AND DERIVATIVES DERIVATIVES AND METHODS FOR PRODUCING THESE ESTERS

GAU: 1625

EXAMINER: Chandrakumar, Nizal

AMENDMENT TRANSMITTAL

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SIR:

Transmitted herewith is an amendment in the above-identified application.

- No additional fee is required.
- Applicant(s) is/are entitled to small entity status.
- Additional documents filed herewith:

The fee has been calculated below:

| CLAIMS | CLAIMS REMAINING | | HIGHEST NO. PREVIOUSLY PAID FOR | NO. OF EXTRA CLAIMS | RATE | CALCULATIONS |
|----------------------------------------------------------------------|---------------------|-------|---------------------------------------|------------------------|---------------|-----------------------|
| TOTAL | 4 | MINUS | 5 | 0 | x \$52/26 = | \$0.00 |
| INDEPENDENT | 4 | MINUS | 3 | 1 | x \$220/110 = | \$220.00 |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS | | | | | | \$0.00 |
| <input type="checkbox"/> TOTAL OF ABOVE CALCULATIONS | | | | | | \$220.00 |
| <input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY | | | | | | \$0.00 |
| <input type="checkbox"/> RECORDATION OF ASSIGNMENT | | | | | | + \$40 = \$0.00 |
| | | | | | | TOTAL \$220.00 |

- A check in the amount of \$_____ is attached.
- Payment by credit card via EFS.
- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 13-3402.
- If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. § 1.136, and any additional fees required under 37 C.F.R. § 1.36 for any necessary extension of time may be charged to Deposit Account No. 13-3402.

Respectfully submitted,

/Jennifer J. Branigan/

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Date: March 20, 2009